

ENVIRONMENTAL POLICY

UOB Kay Hian Securities (Thailand) Public Company Limited

1. Introduction

UOB Kay Hian Securities (Thailand) Public Company Limited realize the importance of conducting business in an environmentally responsible way, targeting stable and sustainable growth whilst taking into account the impact that the Company may have on all stakeholders and on the environment. The Board of Directors agrees to issue this Environmental Policy in writing. This Environmental Policy is primarily intended to provide guidance for all directors, executives and employees to increase efficiency and reduce environmental impacts throughout the business process.

2. Definition

In this Environmental Policy;

Company means UOB Kay Hian Securities (Thailand) Public Company Limited.

Employees means All of the Company's directors, management, permanent and contractual employees.

Relevant Stakeholders means Other business intermediaries who act on behalf of the Company and those involved in business operations throughout the business value chain, such as suppliers, contractors, as well as business partners and joint venture members.

3. Scope of Enforcement

This Environmental Policy shall apply to the Employees and all of the Company's activities. In addition, the Company also encourages the Relevant Stakeholders to support and comply with this Environmental Policy.

4. Duties and Responsibilities

- 4.1 The Board of Directors has assigned the Sustainability Committee to oversee this policy to ensure that all directors, executives and employees adhere to and comply with this policy;
- 4.2 The Sustainability Committee is responsible for planning and implementing environmental management guidelines on specific issues relating to business processes, such as reducing electricity consumption, managing water usage, reducing paper usage, minimizing pollution and reducing greenhouse gas production;
- 4.3 The Sustainability Committee is responsible for communicating this policy to those involved in business operations throughout the business value chain, in order to reduce environmental impacts throughout the business process;
- 4.4 All executives are responsible for ensuring that their subordinates are aware of and understand this Environmental Policy.

5. Policies and Guidelines

The Company is committed to sustainable business operations based on environmentally responsible actions. The Company sets policies and plans regarding energy usage and environmental impact in its business operations covering all activities in the business value chain, including operations, service channels, marketing, and after sales service. The Company has established policies and guidelines to protect and minimize any impact on the environment, as follows:

- 5.1 Comply with environmental laws, rules and regulations of the Company strictly;
- 5.2 Conduct business in a way that is environmentally and socially responsible, and encourage operations and business activities that have a minimal impact on the environment and surrounding communities;
- 5.3 Promote low-carbon activities where possible. Purchase materials and equipment that minimize the impact on the environment;
- 5.4 Promote environmental sustainability within the supply chain by selecting business partners, contractors, and business alliances which encourage good environmental management and comply with the Company's environmental policy;
- 5.5 Ensure that all employees are aware of the importance of environmental protection and energy conservation, including managing water resources and electricity efficiently and reducing waste according to the concept of 3Rs (Reduce, Reuse and Recycle);
- 5.6 Communicate the Company's Environmental Policy to relevant stakeholders to encourage all stakeholders to understand and operate in accordance with the appropriate environmental standards and practices and comply with the relevant environmental policies, rules and regulations.

Approved by the Board of Directors' Meeting on February 27, 2023